

Presenting a hybrid method in order to predict the 2009 pandemic influenza A (H1N1)

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Abstract:

By the emergence and rapid spread of 2009 pandemic influenza A (H1N1) virus through the world, several methods have been developed to predict and prevent this lethal disease. Although many efforts have been made by statistical and traditional intelligent methods to anticipate this disease, but none of them could satisfy the expectations of specialists. This paper aims to present an efficient hybrid method to predict H1N1 with a reliable sensitivity. In this way, three methods including Gaussian mixture model (GMM), neural network (NN), and fuzzy rule-based system (FRBS) have been fused in order to provide an accurate and reliable prediction scheme to anticipate presence of H1N1 influenza. In this study, 230 individuals were participated and their clinical data were collected. The proposed hybrid scheme was implicated and the results showed to be superior to using each of the decision components containing NN, FRBS, and GMM classifiers. The achieved results produced 95.83% sensitivity and 80.95% specificity on unseen data which support the effectiveness of the hybrid method to predict the influenza in its golden time.

Keywords:

GMM, Neural Network, FRBS, H1N1 influenza, predictio

Introduction

Few months after announcement of world health organization (WHO), regarding the start of phase 6 pandemic of swine flu on 11 June 2009, we have witnessed the rapid progression of the first pandemic of twenty first century (2). Since introduction of swine flu (H1N1) infection different reports have been released indicating that H1N1 behavior has some unique features including: high rate of hospitalization and mortality, involvement of young age group rather than extreme of ages and higher risk of influenza complications among patients with morbid obesity, that differentiate it from seasonal flu (1). Furthermore, the magnitude of hospitalization and mortality rate and to top it off imposed burden on health care system and affected patients showed a substantial difference in comparison with seasonal flu (3). In this setting the adverse impact of this pandemic have not limited to the health care systems while a great social fear in the large gatherings and travelers (e.g. among pilgrims) was among consequences of this event (4).

Due to unusual and atypical clinical and laboratory presentations of H1N1 influenza with its consequent difficulties in differentiation of swine flu from seasonal one and even common cold, late or misdiagnosing have led to some complications for the affected patients (5). To avoid delaying in proper decision making and rational prescription of antiviral medication, oseltamivir, this study aims to develop a hybrid model based on fuzzy, statistical and neural learning theories in order to predict presence of this infection in its vital period. The proposed hybrid scheme benefits all strong points of human-like decision making (fuzzy method), generalization and robustness of statistical models and adopt the ability of human-like neural learning to gather all these capabilities in one hybrid model. The rest of this paper is structured as follows. First, the related works are explained and their positive and negative points are discussed. Next, the methodology has been used in this article is presented. Afterward, experimental results are brought and analyzed in the results and discussions section. Finally, the paper is concluded by a conclusion and future works part.

Related Works

Prediction of lethal diseases has attracted many attentions and the number of research teams who are working on this issue is significantly increasing(6-8). Several the state-of-art methods have been employed in this field such as polynomial predictors (23), support vector machines (SVMs) (24), fuzzy predictors such as adaptive neuro-fuzzy interference system (ANFIS) (10), as well as neural approaches including multi-layer perceptron(MLP), radial basis functions (RBFs) and modular neural networks [11].

A variety of medical tasks has been successfully performed using such methods including detection of electrocardiogram (ECG) arrhythmia using fuzzy classifier (9), decision-making in pathology by neural networks (17,25), prediction of influenza vaccination (26), presenting a stochastic model to predict pandemic influenza(27), prediction of gastro-intestinal absorption using adaptive splines(28), prediction of pulmonary embolism (29) by neural network, and early detection in mammographic images by SVMs and fuzzy SVMs (30-31). Moreover, the mentioned prediction methods were used commonly in other fields such as predicting of stock market indexes (32) by SVM, forecasting of weather status by neural networks (33), predicting on sea waves behaviors to anticipate Tsunamis using neural network(34), prediction of Alzheimer prognosis based on estimating the electroencephalogram (EEG) behaviors (35), forecasting the seizure attack time for epileptic patients (36), and prediction the cancer growth(37). Although lots of research has been done for preparation of societies to inhibit the spreading of influenza A (H1N1) but no reliable prediction method has been proposed which is verified FDA institute. Therefore, this paper is aimed at predicting H1N1 influenza using quantitative clinical signs and symptoms in its golden time. To achieve this aim, this study presents a hybrid model based on statistical methods as well as neural network and fuzzy logic to predict the influenzaA (H1N1) in its golden time.

Materials and methods

Subjects and source of information

Based on the national protocol in Islamic Republic of Iran (12-13), a suspected case of influenza A (H1N1) virus infection was defined as one who has high grade fever ($>38^{\circ}\text{C}$) or has at least two acute respiratory symptoms including: nasal obstruction/rhino rhea, sore throat, cough, fever (feverishness) and met at least one of the following criteria:

- 1) Within the last seven days returned from a country or region with an epidemic of influenza A (H1N1).

2) Being in close contact (within two meters) with a confirmed case of influenza A (H1N1) within the past seven days.

3) Patients with moderate to severe respiratory illness requiring hospitalization, or unexplained or unusual clinical patterns associated with serious or fatal cases.

On the other hand confirmed case of influenza A (H1N1) was defined as one who has high grade fever ($>38^{\circ}\text{C}$) or has at least two acute respiratory symptoms including: nasal obstruction/ rhino rhea, sore throat, cough, fever (feverishness) and influenza A (H1N1) virus infection that has been confirmed by Reverse Transcriptase PCR (RT- PCR). RT- PCR is the gold standard test to rule in or rule out the presence of virus and for this reason we provided the information for training of the software from confirmed cases and suspected cases for those the result of RT- PCR became negative.

Artificial neural network

Artificial neural networks (11) have been developed for a wide variety of problems such as classification, function approximation, and prediction. A neural network is structured by a parallel full-connection computation units arranged in layers mimicking the physiologic structure of the brain. There are multiple connections within and between the layers which indicate the strengths or weights between neurons that are learned under an optimization criterion. All information learnt by the network is stored in the interconnection weights between each two successive layers. In medical research, the most commonly used artificial neural networks (ANN) are multi-layer perceptrons (MLP) which is schematically depicted in Fig. 1. The implemented neural network uses back propagation in order to learn the parameters (weights) of the model, usually squared error or maximum likelihood, using a gradient optimization method (11). In MLP networks, the error (the difference between the predicted and the target values) is propagated back from the output to the connection weights and updates the weights to minimize the prediction error (11). The employed MLP is constructed by three layers with back propagation learning algorithm. Quantitative clinical symptoms are used as input features to the network and the training algorithm iteratively modified the numeric values of these weights to decrease the training error of the network. In order to avoid over-fitting, 10-times 10-folds cross validation is utilized to determine the optimum parameters of the MLP in terms of number of neurons in the hidden layer (here is selected 6) and the function type of neurons (which is selected as sigmoid). After training of the MLP, the weights are fixed for the test phase. When a set of input feature values are presented to the trained network, an output is generated to predict the label of the input vector. By applying a sign function the predicted value is classified to the positive or negative classes which show that the patient is with or without the H1N1, respectively. It should be noted that there is no overlap between the train and test data and in other words, test set is considered as blind or unseen data.

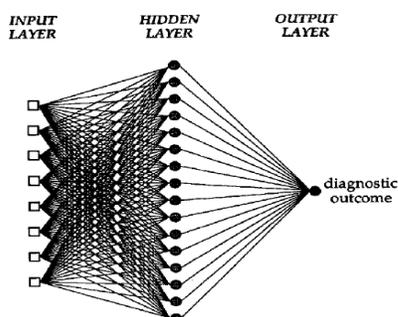


Figure 1: Architecture of an MLP neural network with one hidden layer is schematically shown

Gaussian mixture model (GMM)

Statistical models have always been applied to prediction of different diseases. One of the models which are repeatedly being utilized for influenza prediction is Gaussian mixture model (GMM) (14,22). GMM models the probability density function of the observed data using a multivariate Gaussian mixture density. This mixture is enabled to estimate any distribution with arbitrary shape. GMM is formulated in terms of Gaussian functions and their importance. The mentioned components are expressed as follows.

$$F(x; \theta) = \sum_{i=1}^K p_i g(x; m_i, \sigma_i)$$

$$g(x; m_i, \sigma_i) = \frac{1}{(\sqrt{2\pi}\sigma_i)^D} e^{-\frac{1}{2}\left(\frac{\|x-m_i\|}{\sigma_i}\right)^2}$$

where p_i is the weight of i 'th Gaussian function. A graphic representation of GMM is depicted in Fig. 2. In the current problem, we are faced with a two-class problem; therefore, a GMM should be trained to recognize the patterns of each class separately. First we apply K -means to clustering input data of each class separately. K -means tries to find those clusters in which data are distributed. The point is that K -means is not a stable algorithm due to this fact that the formed clusters depend seriously on the initial centers considered in each run. To improve stability of K -means, we have applied a method to initialize the cluster centers such that the outcome will be more stable [15]. In this approach the cluster centers have the maximum distance from each other.

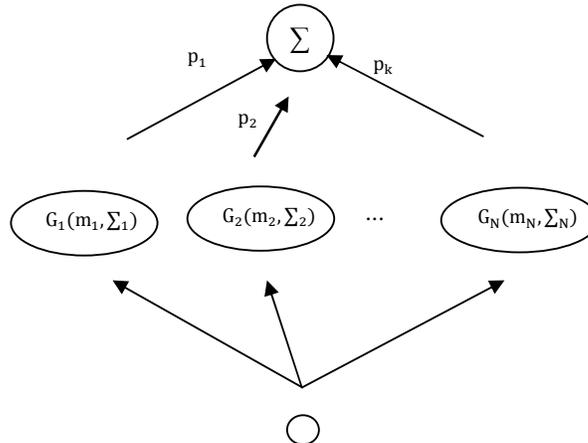


Figure2: Gaussian mixture model consisting of Gaussian functions along their importance

Another problem in K -means is the number of clusters which should be known beforehand. There are several methods for finding the number of clusters among which Gap-Statistic has proven to be quite applicable [16]. In this algorithm the optimum number of clusters is found. Therefore, it is expected that the formed clusters would be denser and are placed far away from each other.

After finding the initial clusters, we apply expectation maximization (EM) algorithm to find the Gaussian components for each of the two classes.

EM is a soft version of K -means algorithm in which the data membership in each cluster is a binary value (0 or 1) while in EM, an observation can have a membership degree ranged from 0

to 1. It means data can belong to more than one Gaussian component with different probabilities. The clusters' centers and number of instances in each cluster are used as Gaussian mixture model parameters.

Finally the probability of data is calculated in each class and the division is compared to a threshold; consequently, the class label can be determined. Afterward, the model is trained and assessed by 10-times 10-folds cross validation in order to avoid over-fitting. Regarding the training results, a threshold is determined for each GMM model. Next, the trained GMM is applied to the test data and the weighted summation of Gaussian outputs for each input pattern determines its probability given the model. Finally, to take the last decision, each pattern is applied simultaneously to the trained models, and a simple maximizing operand determines the predicted label. In order to use GMM as a predictor instead of a classifier, the maximum GMM real value is considered as the predicted value with regard to that input sample.

Fuzzy rule-based classifier

One of the efficient learning methods which mimic human-like decision, especially in disease diagnosis, is fuzzy rule-based system (FRBS) which is a special case of fuzzy modeling where the output of the system is crisp and discrete. The main advantage of this classifier is the interpretability and human understandability of the model [6]. Basically, to train a FRBS, a compact set of fuzzy If-Then rules should be found in order to model the input-output behavior of the system (20).

Rules are generated from a set of labeled data from all classes (19). After the training phase, we can test the classifier with unseen patterns. Many approaches have been proposed to construct a rule engine from input numerical data. These include heuristic approaches (7,9), neuro-fuzzy techniques (11), and clustering methods (8). Here, we use fuzzy rules of the following type for this problem:

Rule R_j : If x_1 is A_{j1} and . . . and x_n is A_{jn} then C with $CF_j = a_{j,j} = 1, 2, . . . , N$,

where $X = [x_1, x_2, . . . , x_n]$ is the input feature vector, A_{jk} is the fuzzy set associated to x_k , C is the class label, CF_j is the certainty degree of rule R_j and N is the number of fuzzy rules in the rule-base system. In order to classify an input pattern $X_i = [x_{i1}, x_{i2} . . . x_{in}]$, the degree of compatibility of that pattern with each rule should be computed (i.e., using a T-norm to model the "and" connectives in the rule antecedent). In case of using product as T-norm, the compatibility grade of rule R_j with the input pattern X_i can be calculated as:

$$\mu_j(X_i) = \prod_{i=1}^n \mu_i(A_{ji}(x_{ii}))$$

Where $\mu_j(X_i)$ is the fuzzy membership value of its input pattern X_i in the j 'th membership function. In case of using weighted vote (10,20,21) as the reasoning mechanism, each fuzzy rule gives a vote for its consequent class.

$$\mu^+ = \sum_{\substack{\mu_j(X_i) > CF_j \\ \text{and } j=1, \dots, N}} \mu_j(X_i)$$

$$\mu^- = \sum_{\substack{\mu_j(X_i) < CF_j \\ \text{and } j=1, \dots, N}} \mu_j(X_i)$$

1. If $\mu^+ > \mu^-$ then X_i is classified as positive.
2. If $\mu^+ < \mu^-$ then X_i is classified as negative.
3. If $\mu^+ = \mu^-$ then don't classify X_i .

Two stages for generating rules are used in this study as shown in Fig.3. In the first stage, rules are generated for each training data. Triangular fuzzy sets are used and number of fuzzy sets

and parameters of membership functions (MFs) on attributes are dependent to values of that attribute. We also determine degree of each rule and select rules with maximum degrees. Degrees are determined based on compatibility of training data with fuzzy sets defined on each attribute as described in (13,18). In the second stage, the objective function is constructed in terms of sensitivity and specificity (i.e. simulated annealing) to improve the FRBS performance. Finally, a majority vote mechanism is used to determine the class label.

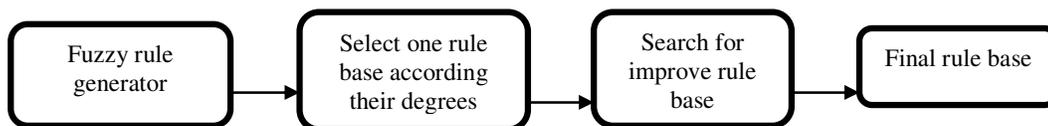


Figure 3: stages of constructing of an FRBS

The proposed hybrid method

We proposed a method composed of three components in terms of artificial Neural Network (ANN), fuzzy rule-based classifier (FRBS), and Gaussian mixture model (GMM). In the proposed method, the decision is made based on the result of each of the three methods. A weighted voting mechanism is used and a class with the majority vote is selected to determine the label of the input data. We first trained each of the above methods independently using the training data to find their proper parameters. Finally a hybrid method is constructed using the three methods and weights of each method are adjusted using the training data as shown in Fig.4.

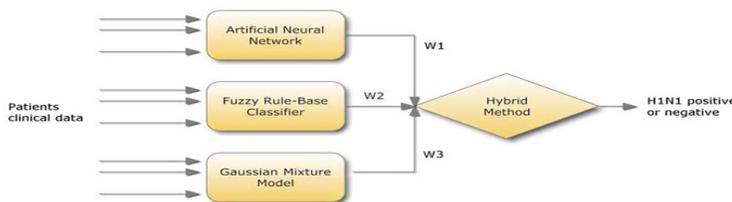


Figure 4: Structure of the proposed hybrid model using MLP, FRBS and GMM modalities are shown

Results and Discussions

In this section, the achieved results by each of the modalities along with the hybrid method are presented. In this study, 230 cases were selected randomly from the examination results of patients at Namazi and Aliasghar hospitals which were under supervision of Shiraz University of Medical Sciences. The diagnoses were performed by professional specialists from 15 September to 10 December 2009. A list of clinical attributes and their corresponding positive percent used in this experience is shown in Table 1.

Table 1: Items belonged to patients' history, signs and symptoms

Symptom	Percent of positive
Age	-
Occupation	-
Travel history	14%
Cough	84.96%
History of exposure	32.74%
Headache	20.80%
Dizziness	6.19%
Chills	26.99%
Sore throat	29.65%
Dyspnea	47.35%
Rhino rhea or Nasal congestion	4.87%
Body pain	73.89%
Nausea or Vomiting	39.82%
Diarrhea	14.60%
Convulsion	0.44%
Chest pain	10.62%
Abdominal pain	17.26%
Temperature	-
Respiratory distress	19.47%
Abnormal auscultatory sound	1.77%
Dehydration	0.88%
Decreased level	0.88%
Anemia requiring transfusion	4.87%
Pandemic influenza vaccine	1.77%
Asthma	7.96%
Obesity	1.33%
Other chronic lung diseases	0.44%
Pregnancy	5.31%
Congestive heart failure	0.44%
Transplant	0.88%
Chronic renal failure	0.88%
Immune Suppressive Condition	1.33%
Active cancer	0.44%

We used polymerase chain reaction (PCR) test results as the label for each case. PCR tests showed that 37% of patients were infected by H1N1. We trained the proposed method using 10-times 10-folds cross validation to evaluate the effectiveness of our method. The results achieved by applying each decision making component was separately determined. Then, the hybrid method was employed and the results are compared to those achieved by each modality. A comparison of the performance of each method is shown in Table 2. Different terminology is used to show the performance of each method including sensitivity or true positive rate (TPR) which measures the proportion of actual positives which are correctly identified as such; specificity or true negative rate (TNR) which measures the proportion of actual negatives which are correctly identified. Moreover, other indexes such as positive predictive value (PPV) which measures the proportion of the identified positives that are actually positive, negative predictive value (NPV) which measures the proportion of the identified negatives which are actually negative and finally the accuracy which measures the proportion of all cases which are identified correctly are calculated using applying the data to the models. Another significant criterion, especially in the medical applications, is area under curve (AUC) which is a nonparametric measure of discrimination. The receiver operating characteristic (ROC) area measures the relative goodness of the predictions entirely by comparing the predicted probability of each patient with that of all the other patients. Receiver operating characteristic area is independent of both the prior probability of each prediction and the threshold cut-off for classification. In addition, the mean and standard deviation for each terminology is also reported in Table 2. It can be seen that the hybrid method outperformed the other modalities in terms of TPR, NPV, accuracy, and AUC indexes.

Table 2: Comparison of the performance of each method

	TPR	TNR	PPV	NPV	Accuracy	AUC
ANN	90±5.92	77.14±8.11	69.95±7.34	93.38±4.93	81.81±5.25	90.05±4.36
FRBC	91.37±6.72	69.44±10.58	63.03±7.44	94.19±4.51	77.27±5.75	80±4.82
GMM	80.55±9.08	86.61±9.69	79.70±12.03	88.56±3.72	84.09±4.21	83.15±3.28
Hybrid	95.83±6.45	80.95±3.69	75.91±5.66	97.22±4.30	86.36±4.07	92.52±4.53

To test for significant difference between the proposed hybrid method and its decision making components, the statistical T-test is utilized to reveal the significance of our results. The statistics t-test allows us to determine p -value that indicates how likely we could have gotten these results by chance. If the p -value is less than 0.05, we can conclude that there is a statistically reliable difference between the mean results of the two methods. The p -value result of T-test for each terminology between the hybrid method and ANN, FRBS, and GMM methods is reported in Table 3. We are able to test whether the significant improvements of the hybrid method in prediction has enough generalization across the unseen data (test set) or not. T-test reveals that the hybrid method predictions of unseen patients were significantly more accurate than each of the FRBC, MLP, and GMM methods separately.

Our hybrid method exploits its components and compensate for each method's disadvantages. Neural network derives its computing power through its massively parallel distributed structure and its ability to learn and generalize. The nonlinearity, adaptability, confidence in decision making and neurobiological analogy of neural network makes it a powerful tool for a reliable prediction. Fuzzy inference systems can incorporate and handle uncertainty; therefore, it

provides a systematic calculus to deal with it linguistically, and it performs numerical computation by using linguistic labels specified by membership functions. A selection of fuzzy *if-then* rule can effectively model human expertise but it suffersadequate adaptability to deal with changing environment. Gaussian mixture model is useful in case of multi modal distributions but is an unstable method due to its high sensitivity to itsinitialization values. In order to have a method that benefits all advantages of each described modality, a hybrid scheme is proposed which fuse the results of different modality and takes its decision according to the majority vote scheme.

In order to make our work applicable in hospitals, we designed a user-friendly graphic user interface (GUI) software to predict H1N1 influenza by each modality or using hybrid method. The GUI of this software allows the user to select one of the four models which is shown in Fig. 6. This software is now being used is many hospitals which are all under supervision of Shiraz University of Medical Sciences to assist low-experienced graduated doctors to detect H1N1 influenza.

Table 3: T-test for significant difference between Hybrid method and each of the ANN, Fuzzy and GMM method

		TPR	TNR	PPV	NPV	Accura cy	AU C
	ANN	0.049 5	0.19 3	0.058	0.07 9	0.044	0.2 3
	Fuzzy	0.147	0.00 4	0.000 4	0.14 1	0.000 9	0
GM M	0.000 3	0.10 9	0.38 3	0.00 01	0.23 6		0

Predictor of H1N1 Influenza Infection

About

This Software is based on a number of real data and will predict whether a patient has H1N1 according to the following features. Please fill out the form carefully as this software is sensitive.

* Age: * Chest Pain: * Other Chronic Lung Disease:

* Occupation: * Abdominal Pain: * Pregnancy:

* Travel History: Weight: * Diabetes:

* Cough: Respiratory rate: * Congestive Heart Failure:

* History of Exposure: Yes No * Temperature: * Chronic Renal Failure:

* Headache: * Systolic BP: * Transplant:

* Dizziness: * Diastolic BP: * Active Cancer:

* Chills: * Respiratory Distress: * Immune Suppressive Condition (disease or treatment):

* Sore Throat: * Abnormal Auscultatory Sound: * Neurodevelopmental:

* Dyspnea: * Dehydration: * Recent use of Antipyretics:

* Rhinorrhea or Nasal Congestion: * Decreased Level: * Persistence of symptoms for more than 48 hours:

* Body Pain: * Anemia Requiring Transfusion: * Chest X-Ray Infiltration:

* Nausea or Vomiting: * Pandemic Influenza Vaccine:

* Diarrhea: * Asthma:

* Convulsion: * Obesity:

Neural Network Fuzzy Statistical
86.67%

*Name:
*Last Name:
National Code:
*Telephone:
Address:

Save Patient Info

Conclusion and Future Works

In this paper we proposed a hybrid method to predict H1N1 influenza with high sensitivity and specificity that can satisfy the specialist expectations. The proposed method is able to learn well, takes a robust decision and models the statistics of input data. The reason of inheriting such strong capability is to use three prediction modalities in which each prediction component is the state-of-art for one of the mentioned properties. To compare the hybrid scheme with each of its components (FRBS, MLP, and GMM), the achieved results illustrated in Table 2, exhibits the supremacy of the combined scheme compare to each of its component in terms of TPR, NPV, accuracy, and AUC indexes.

Although the hybrid method has an acceptable performance in this data set, but an adaptation process can refine the model parameters to increase its performance. For instance, the FRBS component can be improved using genetic algorithm to optimize its rule set and also tuning its fuzzy membership functions on each attribute separately [9]. To enhance GMM capability, using another clustering algorithm that is more stable than *k*-means could result in better performance.

Some clinical attributes such as chest X-Ray infiltration were not available; therefore, if we measure more clinical quantitative symptoms like the chest status, for each suspicious subject, the hybrid method is expected to result in a higher accuracy, robustness, and generalization properties.

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Short Biography

Kamran BagheriLankarani was born in 1965 in Tehran. He got his MD. Diploma from Shiraz University of Medical Sciences (SUMS), Shiraz Islamic Republic of Iran on 1989. He entered medical residency in the same year and graduated on 1992.He passed the national board exam on internal medicine in the same year. He later received an award from the President of Islamic Republic of Iran at that time for his performance on this exam. He received his subspecialty board on Gastroenterology and hepatology on 1996. He joined the department of internal medicine of SUMS on 1992 as an assistant professor. He served as the director general of Nemazi hospital in Shiraz, vice chancellor of SUMS and as the head of department of internal medicine and finally as the minister of health and medical education until September 2009. Currently he is professor of internal medicine at SUMS and a senior member of Gastroenterology and Hepatology Research Center at SUMS, chairman of Health Policy Research Center and a senior staff member of liver transplant ward at SUMS. He is also a member of several national and international professional societies. He has published over 100 papers in different fields of gastroenterology and hepatology. He also was co-author of 5 books in this field. He is the editor-in-chief of Shiraz Electronic Medical Journal and the Iranian Red Crescent Medical Journal. He is also the chairman of hepatitis monthly Journal editorial board and an editorial board member and reviewer of several other national and international journals.

