DYNAMICS, ADAPTIVE CONTROL AND EXTENDED SYNCHRONIZATION OF HYPERCHAOTIC SYSTEM AND ITS APPLICATION TO SECURE COMMUNICATION

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ABSTRACT

This work studies the dynamics, control and synchronization of hyperchaotic Lorenz-stenflo system and its application to secure communication. The proposed designed nonlinear feedback controller control and globally synchronizes two identical Lorenz-stenflo hyperchaotic systems evolving from different initial conditions with unknown parameters. Adaptive synchronization results were further applied to secure communication. The numerical simulation results were presented to verify the effectiveness of the designed nonlinear controller and its success in secure communication.

KEYWORDS

Hyperhaos, control, synchronization, Secure Communication

1. INTRODUCTION

Chaotic systems are characterized by their complex dynamical behaviuor particularly their extreme sensitivity to initial conditions and parameters variation which make their behaviour long-term unpredictable. Therefore, the idea to control and synchronize two chaotic systems has been considered as illogical and impossible [1]. The year 1990 marked a turning point in the study of chaotic dynamics when the idea of chaos control and synchronization was first proposed by Pecoral and Carrol [2], and it was shown that synchronization is not only possible but it has wide potential application in secure communication, engineering, physical, chemical, biological and financial systems, and information science [3-5].

Synchronization involves the linking of one system trajectories to the corresponding trajectories of the other such that both systems remain in step with each other (mimics) through the transmission of signal [6]. The coupling of these systems is usually called drive (master) and response (slave) systems [7]. Its numerous applications in secure communication has been one of the main motivating factor, where the basic idea is to mask the information bearing signal to be transmitted with chaotic signal that exhibits broad band feature [8-10].

A wide variety of approaches have been developed and reported in the literature to achieve chaos synchronization in the couple chaotic and hyperchaotic systems such as; time-delay feedback method [11], active control method [12-13], impulsive method [14], linear state error feedback method [15], adaptive control method [16-19]), backstepping technique [20-23] (see the references there in). Most of these approaches are based on exactly knowing the system structure

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and parameters. But in many practical situations, some or all of these systems' parameters are unknown. Also, these parameters can change from time to time. Therefore, the derivative of adaptive controller for control and synchronization of chaotic system in the presence of unknown parameters is an important issue [24], that made adaptive control method more advantageous in practice over the other approaches mention above. Though the adaptive control method is easy to manipulate, it still has the following limitation; the controller designed by this method usually have high or fixed signals strength and too large. As we know, the actual transmission of the signals is always associated with the signals' amplification and reduction. Also, one always expected to achieve the final synchronization by using the relatively smaller signals. Thus the adaptive control can be improved by reducing the signal strength and the complexity in controller to minimize the energy consumption. In view of this, the present work is focused on the following: the dynamics and qualitative properties of hyperchaotic Lorenz-Stenflo system, designing of adaptive control method for controlling hyperchaoticLorenz-Stenflo system with fully unknown parameters to control to equilibrium point, design via adaptive nonlinear extended scheme control feedback input that capable of synchronizing two identical hyperchaotic Lorenz-Stenflo systems evolving from different initial conditions and its application to secure communication. The control and synchronization based on this technique are simple and easy to implement in practical applications in some real systems.

2. DESCRIPTION OF LORENZ-STENFLO SYSTEM

The mathematical interest in this work is the hyperchaotic Lorenz-Stenflo system described by the first order differential equations of the form given in equation (1) below;

$$\dot{x}_{1} = a(x_{2} - x_{1}) + bx_{4}$$

$$\dot{x}_{2} = x_{1}(c - x_{3}) - x_{2}$$

$$\dot{x}_{3} = x_{1}x_{2} - dx_{3}$$

$$\dot{x}_{4} = -x_{1} - ax_{4}$$
(1)

Where x_1, x_2, x_3 and x_4 are the state variables of the system and a, b, c and d are the real constant parameter of the system.

System (1) exhibits hyperchaotic behavior when a = 1.0, b = 1.5, c = 26.0 and d = 0.7 and the phase portraits of the attractor is given in Fig.1.





(c)

Figure 1. Phase portraits of Loren- Stenflo hyperchaotic system attractor with the parameter values; a = 1.0, b = 1.5, c = 26.0 and d = 0.7

3. BASIC DYNAMICS OF LORENZ-STENFLO HYPERCHAOTIC SYSTEM

To derive the equilibrium point for Lorenz-Stenflo hyperchaotic system (1), when $a \neq 0$, $b \neq 0$, $c \neq 0$ and $d \neq 0$, we solve the sets of equations in system (1). By solving system (1), we let;

 $\dot{x}_1 = 0$, $\dot{x}_2 = 0$, $\dot{x}_3 = 0$ and $\dot{x}_4 = 0$ (condition for stability)

Hence;

$$a(x_2 - x_1) + bx_4 = 0 \tag{2}$$

$$x_1(c - x_3) - x_2 = 0 \tag{3}$$

$$x_1 x_2 - dx_3 = 0 (4)$$

$$-x_1 - ax_4 = 0 \tag{5}$$

Solving equations; (5), (3), (4) and (2) respectively we get;

$$x_1 = -ax_4$$
, $x_2 = -ax_4(c - x_3)$, $x_3 = \frac{(ax_4)^2(c - x_3)}{d}$ and $x_4 = \frac{-a(x_2 - x_1)}{b}$

3.1 CONDITION FOR EQUILIBRIUM

For hyperchaotic Lorenz-Stenflo system (1) to be in equilibrium, the following condition must be satisfy; $x_1 = x_2 = x_3 = x_4 = 0$. But solving the sets of equations in system (1) {i.e., equations, (2), (3), (4) and (5)}, $x_1 \neq x_2 \neq x_3 \neq x_4$, provided that $a \neq b \neq c \neq d \neq 0$, which is a contradiction. Thus, there is no equilibrium point for hyperchaotic Lorenz-Stenflo system (1).

Hence, control to equilibrium point E_0 (0,0,0,0) and synchronization of hyperchaotic Lorenz-Stenflo system (1) in the presence of system's disturbances and unknown parameters is quiet interesting that needed to be address.

4. Adaptive Control Of Hyperchaotic Lorenz-Stenflo (LS) System

4.1 DESIGN OF THE ADAPTIVE CONTROLLER

In order to design the control function $u_i(t)$, (i = 1,2,3,4) using adaptive control method, we assume that the real parameters a, b, c and d in equation (1) are unknown.

We now consider the controlled system as follow;

$$\dot{x}_{1} = a(x_{2} - x_{1}) + bx_{4} + u_{1}(t)$$

$$\dot{x}_{2} = x_{1}(c - x_{3}) - x_{2} + u_{2}(t)$$

$$\dot{x}_{3} = x_{1}x_{2} - dx_{3} + u_{3}(t)$$

$$\dot{x}_{4} = -x_{1} - ax_{4} + u_{4}(t)$$
(6)

Where $u_i(t)$, (i = 1,23,4) are the control function to determined.

According to the Lyapunov stability theory, we choose the following Lyapunov function;

$$V = \frac{1}{2} \left(x_1^2 + x_2^2 + x_3^2 + x_4^2 + \tilde{a}^2 + \tilde{b}^2 + \tilde{c}^2 + \tilde{d}^2 \right)$$
(7)

Where $\tilde{a} = a - \overline{a}, \tilde{b} = b - \overline{b}, \tilde{c} = c - \overline{c}, \tilde{d} = d - \tilde{d}$ and $\overline{a}, \overline{b}, \overline{c}, \overline{d}$ are the estimated values of these unknown parameter respectively.

The time derivative of equation (7) is given in equation (8) below.

$$\dot{V} = x_1 \dot{x}_1 + x_2 \dot{x}_2 + x_3 \dot{x}_3 + x_4 \dot{x}_4 + \tilde{a}\dot{\tilde{a}} + \tilde{b}\,\tilde{b} + \tilde{c}\,\dot{\tilde{c}} + \tilde{d}\,\tilde{d} \tag{8}$$

In order to ensure that the controlled system (6) converges at the origin (0,0,0,0) asymptotically at a desire time, the controllers $u_i(t)$ are choosing as follows:

$$u_{1}(t) = -a(x_{2} - x_{1}) - bx_{4} - x_{1}$$

$$u_{2}(t) = -x_{1}(c - x_{3})$$

$$u_{3}(t) = -x_{1}x_{2} + dx_{3} - x_{3}$$

$$u_{4}(t) = x_{1} + ax_{4} - x_{4}$$

$$\dot{V} = x_{1}[\bar{a}(x_{2} - x_{1}) + bx_{4} + u_{1}(t)] + x_{2}[x_{1}(c - x_{3}) - x_{2} + u_{2}(t)] + x_{3}[x_{1}x_{2} - dx_{3} + u_{3}(t)] + x_{4}[-x_{1} - ax_{4} + u_{4}(t)]$$

$$+ \tilde{a}(-\bar{a}) + \tilde{b}(-\bar{b}) + \tilde{c}(-\bar{c}) + \tilde{d}(-\bar{d})$$

$$= x_{1}[\bar{a}(x_{2} - x_{1}) + bx_{4} + u_{1}(t)] + x_{2}[x_{1}(c - x_{3}) - x_{2} + u_{2}(t)] + x_{3}[x_{1}x_{2} - dx_{3} + u_{3}(t)] + x_{4}[-x_{1} - ax_{4} + u_{4}(t)]$$

$$+ \tilde{a}(-\bar{a} + x_{1}x_{2} - x_{1}^{2} - x_{4}^{2}) + \tilde{b}(-\bar{b} + x_{1}x_{4}) + \tilde{c}(-\bar{c} + x_{2}) + \tilde{d}(-\bar{d} - x_{3}^{2})$$
(10)

The following parameter update laws were chosen;

$$\overline{a} = x_1 x_2 - x_1^2 - x_4^2$$

$$\overline{b} = x_1 x_2$$

$$\overline{c} = x_2$$

$$\overline{d} = -x_3^2$$
(11)

Substituting equation (11) into equation (10) yields;

$$\dot{V} = -x_1^2 - x_2^2 - x_3^2 - x_4^2 < 0 \tag{12}$$

According to the Lyapunov stability theory, the above condition ensures that the controlled system (6) stabilizes at the origin (0,0,0,0) at a chosen time with the designed controllers in equation (9) and the parameter update laws given by equation (11).

4.2 NUMERICAL SIMULATION RESULTS

Appling fourth-order Runge-Kutta algorithm with the initial condition $x_1(0) = 0.8$, $x_2(0) = 0.6$, $x_3(0) = -0.9$, $x_4(0) = -0.8$, a time sizes of 0.001 and fixing the parameter values as in figure 1.

The result obtained show that the state variables move hyperchaotically with time when the controllers are deactivated and when the controllers are switched on at t = 50 the state variables are converges or stabilized at the origin (0,0,0,0) according to the Lyapunov stability theory. The results are display in Figure 2.



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(d)

Figure 2. Time responses of the state variables (x_1, x_2, x_3, x_4) for hyperchaotic Loren-Stenflo system when the controller is activated at t = 50 to stabilize at the origin.

5. Adaptive Control For Synchronization Of Hyperchaotic Lorenz-Stenflo (LS) System

5.1 DESIGN OF ADAPTIVE CONTROLLER FOR SYNCHRONIZATION OF HYPERCHAOTIC LORENZ-STENFLO SYSTEM

In this section, we synchronized two identical hyperchaotic Loren-Stenflo systems evolving from two different initial conditions.

In order to obtain a partial strict- feedback, system (1) is rearranged as follows;

$$\dot{x}_{4} = -x_{1} - ax_{4}$$

$$\dot{x}_{1} = a(x_{2} - x_{1}) + bx_{4}$$

$$\dot{x}_{2} = x_{1}(c - x_{3}) - x_{2}$$

$$\dot{x}_{3} = x_{1}x_{2} - dx_{3}$$
We let $x_{4} = x_{1}, x_{1} = x_{2}, x_{2} = x_{3}$ and $x_{3} = x_{4}$, then equation (13) takes the following forms;
$$\dot{x}_{1} = -x_{2} - ax_{1}$$

$$\dot{x}_{2} = a(x_{3} - x_{2}) + bx_{1}$$

$$\dot{x}_{3} = x_{2}(c - x_{4}) - x_{3}$$
(13)
(13)
(13)
(13)
(14)

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Equation (14) is called the drive (master) and equation (15) is the response (slave).

$$\dot{y}_{1} = -y_{2} - ay_{1} + u_{1}(t)$$

$$\dot{y}_{2} = a(y_{3} - y_{2}) + by_{1} + u_{2}(t)$$

$$\dot{y}_{3} = y_{2}(c - y_{4}) - y_{3} + u_{3}(t)$$

$$\dot{y}_{4} = y_{2}y_{3} - dy_{4} + u_{4}(t)$$
(15)

Where $u_i(t)$ (i = 1,2,3,4) are the control functions to be designed with the assumption that the parameters a, b, c and d are unknown constant.

Our objective is to design an appropriate adaptive control inputs vector $u_i(t)$ to stabilize the errors vector of the state variables at the origin (0,0,0,0) and also to make the state variables of the response (slave) system (15) to track the ones of the drive (master) system (14) with the parameter update laws at any chosen time.

We define the synchronization errors vector between the drive (master) system (14) and the response (slave) system (15) as follows;

$$e_i = y_i - x_i \text{ or } y_i = e_i + x_i \tag{16}$$

Where i = 1, 2, 3, 4

The subtraction of equation (14) from equation (15) is the result of the following errors vector:

$$\dot{e}_{1} = -e_{2} - ae_{1} + u_{1}(t)$$

$$\dot{e}_{2} = a(e_{3} - e_{2}) + be_{1} + u_{2}(t)$$

$$\dot{e}_{3} = ce_{2} - (x_{2}e_{4} + x_{4}e_{2} + e_{2}e_{4}) - e_{3} + u_{3}(t)$$

$$\dot{e}_{4} = x_{2}e_{3} + x_{3}e_{2} + e_{2}e_{3} - de_{4} + u_{4}(t)$$
(17)

We consider the Lyapunov function as follows;

$$V = \frac{1}{2}(e_1^2 + e_2^2 + e_3^2 + e_4^2 + \tilde{a}^2 + \tilde{b}^2 + \tilde{c}^2 + \tilde{d}^2)$$
(18)

Where $\tilde{a} = a - \bar{a}$, $\tilde{b} = b - \bar{b}$, $\tilde{c} = c - \bar{c}$ and $\tilde{d} = d - \bar{d}$ are the estimate values these unknown parameters a, b, c and d respectively. The time derivative of equation (18) along the trajectories of the errors vector and estimate values of the constant parameter gives equation (19) below.

$$\dot{V} = e_1 \dot{e}_1 + e_2 \dot{e}_2 + e_3 \dot{e}_3 + e_4 \dot{e}_4 + \tilde{a}\dot{\tilde{a}} + \tilde{b}\,\tilde{b} + \tilde{c}\,\dot{\tilde{c}} + \tilde{d}\tilde{d}$$
(19)

We substituted equation (17) in equation (19) to give equation (20) below.

$$\begin{split} \dot{V} &= e_1[-e_2 - ae_1 + u_1(t)] + e_2[a(e_3 - e_2) + \\ be_1 + u_2(t)] + e_3[ce_2 - (x_2e_4 + x_4e_2 + e_2e_4) - \\ e_3 + u_3(t)] + e_4[x_2e_3 + x_3e_2 + e_2e_3 - de_4 + u_4(t)] + \\ \tilde{a}(-\bar{a}) + \tilde{b}(-\bar{b}) + \tilde{c}(-\bar{c}) + \tilde{d}(-\bar{d}) \end{split}$$

$$= e_{1}[-e_{2} - ae_{1} + u_{1}(t)] + e_{2}[a(e_{3} - e_{2}) + be_{1} + u_{2}(t)] + e_{3}[ce_{2} - (x_{2}e_{4} + x_{4}e_{2} + e_{2}e_{4}) - e_{3} + u_{3}(t)] + e_{4}[x_{2}e_{3} + x_{3}e_{2} + e_{2}e_{3} - de_{4} + u_{4}(t)] + \tilde{a}(-\dot{\overline{a}} - e_{1}^{2} + e_{2}(e_{3} - e_{2})) + \tilde{b}(-\dot{\overline{b}} + e_{1}e_{2}) + \tilde{c}(-\dot{\overline{c}} + e_{2}e_{3}) + \tilde{d}(-\dot{\overline{d}} - e_{4}^{2})$$
(20)

 $\overline{a}, \overline{b}, \overline{c}$ and \overline{d} takes the place of a, b, c and d respectively.

To ensure that the errors vector converges to equilibrium point $E_0(0,0,0,0)$ asymptotically, the condition $\dot{V} < 0$ must be satisfied. From equation (17), we selected the controllers as follows:

$$u_{1}(t) = e_{2} + ae_{1} - e_{1}$$

$$u_{2}(t) = -a(e_{3} - e_{2}) - be_{1} - e_{2}$$

$$u_{3}(t) = -ce_{2} + x_{2}e_{4} + x_{4}e_{2} + e_{2}e_{4}$$

$$u_{4}(t) = de_{4} - (x_{2}e_{3} + x_{3}e_{2} + e_{2}e_{3}) - e_{4}$$
(21)

And the parameter update estimation laws as;

$$\dot{\bar{a}} = -e_1^2 + e_2(e_3 - e_2) - a$$

$$\dot{\bar{b}} = e_1 e_2 - b$$

$$\dot{\bar{c}} = e_2 e_3 - c$$

$$\dot{\bar{d}} = -e_4^2 - d$$
(22)

Substituting equation (22) into equation (20) yield;

$$\dot{V} = -e_1^2 - e_2^2 - e_3^2 - e_4^2 - \tilde{a}^2 - \tilde{b}^2 - \tilde{c}^2 - \tilde{d}^2 < 0$$
(23)

In order to minimize energy consumption and controller complexity, we introduce the weight \mathcal{E}_i to the designed controller in equation (21) as follows;

$$u_{1}(t) = [e_{2} + ae_{1} - e_{1}]\mathcal{E}_{1}$$

$$u_{2}(t) = [-a(e_{3} - e_{2}) - be_{1} - e_{2}]\mathcal{E}_{2}$$

$$u_{3}(t) = [-ce_{2} + x_{2}e_{4} + x_{4}e_{2} + e_{2}e_{4}]\mathcal{E}_{3}$$

$$u_{4}(t) = [de_{4} - (x_{2}e_{3} + x_{3}e_{2} + e_{2}e_{3}) - e_{4}]\mathcal{E}_{4}$$
(24)

Where \mathcal{E}_i (i = 1,2,3,4) are the weight added to the designed controllers. For simplicity, $\mathcal{E}_1 = \mathcal{E}_2 = \mathcal{E}_3 = \mathcal{E}_4 = \mathcal{E}$ was chosen.

The errors vector converges to the origin (0,0,0,0) asymptotically in line with the Lyapunov stability theory. Also the drive (master) system (14) is synchronized with the response (slave) system (15) with the controllers (21) and (24)and the parameter update law (22).

5.2 NUMERICAL SIMULATION RESULTS

To verify the feasibility and effectiveness of the designed controllers (21) and (24) and the parameter update laws (22), we simulate the dynamics of the drive (master) system (14) and the response (slave) system (15) by employing fourth-order Runge-Kutta integration method to solve the systems of differential equations given by equations (14) and (15) respectively with the following initial conditions $(x_1, x_2, x_3, x_4) = (0.5, 0.6, 0.6, 0.8)$, $(y_1, y_2, y_3, y_4) = (-9.0, 1.0, 0.2, 9.0)$, a time size of 0.001 and fixed the real parameter values of the system as in figure 1. The results obtained shows that the error vector variable moves hyperchaotically with time when the controllers are switched off and when the controllers are activated at t = 50 as shown in figures 3 and 4, the error vector variables converges to the origin (0,0,0,0) and thereby guaranteeing the synchronization of systems (14) and (15). This is confirmed by the synchronization norm e given by;

$$e = \sqrt{e_1^2 + e_2^2 + e_3^2 + e_4^2} \tag{25}$$

We observed from the numerical simulation results that the control strength and its complexity are reduced by 30% ($\varepsilon = 0.7$) when the proposed extended adaptive control approach is applied (Figure 4), i.e. only about 70% of the strength of the usual adaptive control is needed to achieve complete synchronization. Thus this new method proposed in this work is an evident that the control strength weight ε is important by produces economics controllerswith low energy consumption which may be useful for practical application.

However, the initial values of the parameter update laws (22) are chosen as follows; $a_1(0) = 4.0$, $b_1(0) = 6.0$, $c_1(0) = 18.0$ and $d_1 = 3.0$. The parameter estimation values \overline{a} , \overline{b} , \overline{c} and \overline{d} converges to a = 1.0, b = 1.5, c = 26.0 and d = 0.7 respectively (see Fig. 5) as $t \to \infty$.







Figure 3: Error dynamics between two identical hyperchaotic Loren-Stenflo systems when the controller is activated at t = 50.



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Fig. 4: Error dynamics between two identical hyperchaotic Loren-Stenflo systems when the controller is activated at t = 50 with $\varepsilon = 0.7$.



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Figure 5: Time responses of the state variables of drive (master) and response (slave) systems when the controller is switch on at t = 50

Note: Red is the drive (master) system and Green is the response (slave) system.



Figure 6: The time responses of the parameter estimation errors.

6. APPLICATION TO SECURE COMMUNICATION

One of the most important applications of chaos synchronization in engineering is in secure communication, where the basic idea is to mask or modulate (encrypt) the information bearing signal to be transmitted with chaotic signal that exhibits broad band feature (see references [8-10]). The information signal is added i.e. masked to the output of a chaotic oscillator to produce unpredictable signal which is transmitted from the transmitter to the receiver. At the receiver the pseudo-random is generated through the inverse operation, original message is retrieved. In order for this scheme to properly work, the receiver must synchronize robustly enough so as to admit the small perturbation in the drive signal due to the addition of the message [25-26]. The power of information signal must be lower than that of chaotic signal to effectively bury the information signal. The signal from the master controls the slave system so as to synchronize it with the master and to carry the information signal, just like any other communication scheme. The purpose of chaos secure communication is to hide message during transmission.

In this chaotic masking scheme, encryption is achieved by combing the information signal with the chaotic carrier signal using mixing algorithm which is simply a function of information and chaotic carrier signals [27]. Here we demonstrate our secure communication scheme using the additive encryption masking scheme. The information signal is chosen to be a periodic function $i(t) = 5\sin 0.3t$, with this choice the chaotic carrier x_1 remain chaotic. The encrypted information is given by the masking algorithm $i_e(t) = i(t) + x_1$. Consequently, the decrypted information $i_d(t)$ is given by the inverse function $i_d(t) = i_e(t) - y_1$. The chaotic signal x_1 of the master is transmitted to the slave via a coupling channel for synchronization between the master and the slave, the information signal $i(t) = 5\sin 0.3t$ is masked in the encrypted signal $i_e(t)$ and transmitted to the receiver. The decrypted information $i_d(t)$ is extracted by inverse function. The numerical simulation results of the communication scheme are displayed in Figure 6.





(c)

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(d)

Figure 7: (a) information signal i(t); (b) encrypted signal $i_e(t)$; (c) decrypted signal (t=50), $i_d(t)$ (d) decrypted error $er = i(t) - i_e(t)$.

7. CONCLUSION

In this work the adaptive control method has been applied to control and synchronize Lorenzstenflo system with fully unknown parameters in the presence of system's disturbances and further extended the synchronization results to secure communication. The designed adaptive nonlinear controllers control Lorenz-stenflo system to equilibrium point $E_0(0,0,0,0)$ according to the Lyapunov stability theory and globally synchronized two identical Lorenz-stenflo systems evolving from different initial conditions. The presence of the weight (\mathcal{E} i.e., the control signal) introduced to the controllers play a major role in the synchronization by overcomes the limitations of large signals and controller complexity in the normal adaptive control. This approach suggested that only about 70% ($\mathcal{E} = 0.7$) of the strength of the usual adaptive control is enough to achieve complete synchronization. We also observed from the numerical simulation results that the speed of synchronization depend on the value of \mathcal{E} , for large value of \mathcal{E} , the speed for achieve synchronization is high and to minimize the expenses, small value of the control strength \mathcal{E} should be adopted. In addition, one can easily change the value of \mathcal{E} according to the actual requirement. Hence, the introduction of weight to the usual controller as a signal control is of economic benefit that is suitable for practical implementation. Numerical simulations were given to demonstrate the effectiveness and efficiency of the proposed scheme. Thus, practical implementation of the proposed scheme shall be very useful and the future work shall focus on addressing this problem.

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